

**13. ORDINANCE RELATING TO THE AWARD OF DEGREE OF DOCTOR OF PHILOSOPHY**

**1. Short-title and Application**

- 1.1 This Ordinance shall be called the “JNU Ordinance Relating to the Award of the Degree of Doctor of Philosophy”.
- 1.2 This Ordinance shall apply to the students admitted to the Degree of Doctor of Philosophy in the Academic Session 2022-2023 onwards.

**2. Definitions**

- 2.1 “Course” refers to an individual subject, either compulsory or optional, taught during the academic semester.
- 2.2 “Credit” (C) is the weightage assigned to a course in terms of contact hours.
- 2.3 “Grade” means a letter grade assigned to a student on the basis of evaluation of a course on the ten point scale.
- 2.4 “Grade point” (G) means the numerical equivalent of a letter grade assigned to a student in the ten point scale.
- 2.5 “Cumulative Grade Point Average” (CGPA) means a cumulative index grade point average of a student calculated in the following manner:

$$\text{CGPA} = \frac{(g_1 \times c_1) + (g_2 \times c_2) + \dots}{\text{Total number of credits opted by the student upto and including the semester for which cumulative index is required.}}$$

Total number of credits opted by the student upto and including the semester for which cumulative index is required.

- 2.6 “Final Grade Point Average” (FGPA) is the final index of a student in the courses (including, where ever it refers to FGPA in M.Phil., dissertation grades). It shall be calculated on the basis of the formula indicated below:

$$\text{FGPA} = \frac{(g_1 \times c_1) + (g_2 \times c_2) + \dots}{\text{Total number of credits opted by the student in all the semesters.}}$$

Total number of credits opted by the student in all the semesters.

- 2.7 “Foreign Educational Institution” means an institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- 2.8 “Semester Grade Point Average” (SGPA) is the semester index grade point average of a student calculated in the following manner:

$$\text{SGPA} = \frac{(g_1 \times c_1) + (g_2 \times c_2) + \dots}{\text{Total number of credits opted by the student in any particular semester for which cumulative index is required.}}$$

**3. Eligibility criteria for admission to the Ph.D. Programme.–The following are eligible to seek admission to the Ph.D. programme:**

3.1 Candidates who have completed:

- (i) A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed

or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

- 3.2 Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other

statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

**Note:** Any issue relating to equivalence of a foreign degree with an Indian degree shall be referred to the Equivalence Committee constituted by the University.

#### **4. Procedure for admission -**

4.1 The admission shall be based on the criteria notified by the institution, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory/regulatory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.

4.2 Admission to the Ph.D. programme shall be made using the following methods:

a) The University shall admit students who qualify for fellowship/scholarship in UGC-NET/UGC- CSIR NET/GATE/CEED and similar National level tests based on an interview.

And/or

b) The University shall admit students through an Entrance Test. The Entrance Test syllabus shall consist of 50% of research methodology, and 50% shall be subject-specific.

c) Students who have secured 50 % marks in the entrance test are eligible to be called for the interview.

d) A relaxation of 5 % marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.

e) The University may decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available. However, the ratio of shortlisted candidate ideally shall not be less than 1:3 candidates for every seat.

f) Provided that for the selection of candidates based on the entrance test conducted by the University, a weightage of 70 % for the entrance test and 30 % for the performance in the interview/viva- voce shall be given.

4.3 The University shall:

a) Notify a prospectus well in advance on the institution's website specifying the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, the procedure for admission, and all other relevant information for the candidates;

- b) Adhere to the National Reservation Policy of Govt. of India, as applicable.
- 4.4 The University shall maintain a list of Ph.D. supervisors (specifying the name of the supervisor, his or her designation, and the department/school/centre), along with the details of Ph.D. scholars (specifying the name of the registered Ph.D. scholar, the topic of his/her research and the date of admission) admitted under them on the website of the institution and update this list every academic year.

## **5. Duration of the Programme-**

- (i) Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme. However, a student admitted in Ph.D may de-register subject to the following conditions:
- (a) The student has been confirmed in the Ph.D Programme and,  
(b) The student has completed minimum residency period of three (3) years from the date of admission.
- (ii) A maximum of an additional two (2) years can be availed through a process of re-registration. However, the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.

Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.

Any such extension will be granted by CASR/Equivalent Body of the concerned School/Spl. Centre/ Centre on the recommendation of RAC of the Ph.D student.

- (iii) Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

## **6. Appointment of Research Supervisor**

- 6.1 The Research Supervisor for a Research Scholar shall be appointed by the Committee for Advanced Studies and Research or its equivalent body, as the case may be, on the recommendation of the Centre or School/Special Centre concerned.
- 6.2 The Centre or School/Special Centre concerned shall recommend only a full time regular member of the faculty of the Centre or School/Special Centre (who is not reaching the age of superannuation in the next three academic years) as Research Supervisor and shall, while making the recommendation, bear in mind the following:
- (i) The number of scholars per Research Supervisor as specified in clause 6.4

- (ii) The specialization of the available Research Supervisors
  - (iii) The research interests of the scholars as indicated by them at the time of interview/*viva voce*.
- 6.3 In case of topics which are of inter-disciplinary nature where the Centre or School/Special Centre concerned feels that the expertise in the Centre or School/Special Centre has to be supplemented, such Centre or School/Special Centre may recommend for appointing a Co-Supervisor from within or outside the Centre, School/Special Centre or University on such terms and conditions as may be specified and agreed upon by the consenting Institutions/University.
- 6.4 A Research Supervisor who is a Professor, at any given point of time, cannot guide more than eight Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to six Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to four Ph.D. scholars.
- 6.5 In addition, each Supervisor can guide up to two international research scholar on a supernumerary basis over and above the permitted number of Ph.D scholars as specified in clause 6.4 above. The admission of these scholars shall be made as per admission policy of the University.
- 6.6 At any point the total number of Ph.D scholars under a faculty member either as a supervisor or a co-supervisor shall not exceed the number as prescribed in clause 6.4 and clause 6.5.
- 6.7 In cases a Research Supervisor, under whose guidance a thesis has been prepared in part or in full, ceases to be a teacher of the University he/she may, subject to his/her availability and recommendation of the Centre or School/Special Centre, be continued by the Committee for Advanced Studies and Research or its equivalent body as Co-Supervisor of the Research Scholar concerned but not after attaining the age of 70 years. In such cases, the Research Scholars shall be allotted a new Research Supervisor from amongst the full time regular member of the faculty of the Centre or School/Special Centre concerned.
- However, while counting the intake of the Research Supervisor under clause 6.4, the Research Scholars so allotted to him/her under this clause shall not be included. They shall be treated as supernumerary.
- 6.8 In case of insuperable difficulties, either the Research Scholar or the Research Supervisor/Co-supervisor may request in writing for change of supervision arrangement. Such request shall be made within minimum residency period of three years from the date of admission to the Ph.D Programme and shall be addressed to the Chairperson/Dean of the Centre or School/Special Centre, who shall place it before the Faculty Committee. The Faculty Committee may, if it is considered to be appropriate, feasible and in the best interests of all, recommend to the Committee for Advanced Studies and Research or its equivalent body, as the case may be, for change of Research Supervisor/Co-supervisor. The Committee for Advanced Studies and Research or its equivalent body may appoint, in such case, different Research Supervisor/Co-supervisor.

6.9 While recommending appointment of a different Research Supervisor as provided under clause 6.6, the provisions contained in clause 6.2 shall be adhered to.

## 7. Course work

7.1 The Centre or School/Special Centre shall prescribe the course work to be completed by all candidates admitted to the Programme. It shall be treated as prerequisite for Ph.D. preparation. The duration of the course work shall be one year divided into two semesters.

7.2 The Centre or School/Special Centre shall, with the approval of the Board of Studies decide the number of credits to be assigned to the course work and to each course.

7.3 Provided that they shall not assign less than a minimum of twelve credits including a “Research and Publication Ethics” course as notified by UGC vide D.O. No. F.1- 1/2018(Journal/CARE) in 2019 and a research methodology course.

7.4 A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas, *inter alia*, quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for doctoral research.

7.5 All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the Board of Studies of the concerned School or Special Centre.

7.6 The Centre or School/Special Centre where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the Research Advisory Committee, as stipulated under Clause 11 below, of the research scholar.

7.7 The Centre or School/Special Centre may, on the recommendation of the Research Advisory Committee, allow the Research Scholar to add, drop or substitute course(s) within a period of two weeks from the commencement of the semester.

7.8 All Research Scholars admitted to the Ph.D. Programme shall be required to complete the course work in the initial two semesters only. In no case shall a Research Scholar be allowed to repeat any course either in the event of failure or for improving the grades.

7.9 A student shall be graded, in each course, on a ten point scale, which is as follows:

<b>Grade</b>	<b>Grade Point</b>
A+	9
A	8
A-	7
B+	6
B	5
B-	4
C+	3
C	2
C-	1
F	0

7.10 Grades in each course shall be awarded, after the assessment, by the concerned course teacher(s) and the gradesso awarded in all the courses, including research methodology courses, shall be finalized after a combined review by the Research Advisory Committee and the Centre, School or Special Centre, as the case may be, in each semester of the course work and the final grades shall be communicated to the University.

7.11 A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent in the UGC 10-point scale in the course work to be eligible to continue in the programme and submit his or her thesis.

7.12 There shall be no rounding off of SGPA/CGPA/ FGPA. The SGPA/CGPA/FGPA obtained by a student is out of a maximum possible 9 points.

7.13 Candidates already holding M. Phil. degree and admitted to the Ph.D. programme may be exempted by the Centre or School/Special Centre, in its discretion, from the Ph.D. course work. The decision to grant exemption shall have the approval of the CASR/Equivalent Body of the Centre/Special Centre/School concerned.

## **8. Approval of synopsis**

- a) The candidates admitted to Ph.D. programme shall have their research proposal/synopsis approved by the Faculty Committee of the Centre or School/Special Centre within two semesters (one year) from the date of completion of their course work.
  
- b) The research proposal/synopsis approved by the Faculty Committee shall be presented before the Committee for Advanced Studies and Research or its equivalent body, as the case may be, for ratification.

## **9. Confirmation of Admission**

9.1 The Committee for Advanced Studies and Research or its equivalent body, as the case may be, of the School or Special Centre concerned shall confirm the admission of the candidates, who fulfill the eligibility criteria for confirmation in the Ph.D. Programme.

9.2 The candidates provisionally admitted to Ph.D. Programme shall be eligible for confirmation in the Ph.D. Programme, if he or she has:

- (i) Completed the course work with minimum CGPA of 5.0 [4.5 CGPA in case of candidates belonging to SC/ST/OBC(non-creamy layer)/differently-abled categories].

Provided that if a student has taken more courses than the prescribed number of courses and has secured the grade higher than F in each course, then in that case the best grades of the required number of courses shall be taken into account for calculation of CGPA for the purpose of deciding his/her case for confirmation to the Ph.D. programme.

- (ii) His or her research proposal/synopsis approved as stipulated under clause 8.

## **10. Removal of name/Cancellation of Registration**

10.1 The name of the candidate provisionally admitted to the Ph.D. programme shall stand automatically removed from the rolls of the University if he or she:

- (i) Fails to fulfill the eligibility criteria specified for confirmation of admission under clause 9.
- (ii) Fails to submit his/her thesis, in case of candidates admitted directly to the Ph.D. Programme, within the period of six years from the date of admission or within the extended period, where extension has been granted under clause 5.

10.2 The Committee for Advanced Studies and Research or its equivalent body may remove, at its discretion, the name of the candidate admitted directly to the Ph. D. Programme, who is undergoing Course Work, from the rolls of the University if he or she:

- (i) Fails to clear all the courses prescribed in the first semester of the Course Work; or



- (ii) Fails to secure SGPA of 5.0 in the first semester course work (4.5 in the case of SC/ST/ OBC(non-creamy layer)/differently-abled) in order to be eligible to continue in the Programme.

10.3 The Committee for Advanced Studies and Research or its equivalent body may, in its discretion, cancel the registration of the Candidate/Research Scholar if:

- (i) The Research Advisory Committee recommends for cancellation under clause 11.4.
- (ii) For breach of provisions contained in clause 10.4 and clause 10.5 below.

10.4 Before completing the minimum residency period prescribed in clause 5, no candidate/Research Scholar shall:

- (i) Undertake any employment:

Provided that the candidate complies to clause 15 of this ordinance for seeking such exemption.

An application seeking such exemption shall be made to the Chairperson of the Centre or School/Special Centre who shall forward the same to the Research Advisory Committee of the Research Scholar concerned for its consideration and views.

The Chairperson, after obtaining the views of the Research Advisory Committee, shall place it before the Committee for Advanced Studies and Research or its equivalent body.

The Committee for Advanced Studies and Research or its equivalent body may, after duly considering the application of the Research Scholar and the views of the Research Advisory Committee, grant exemption subject to the following conditions:

- (a) the candidate shall be allowed to simultaneously pursue the Ph.D. programme in a part-time mode only, and
- (b) All other provisions of this ordinance shall be duly complied with.

- (ii) Join any other course of study;
- (iii) Appear in any other examination other than those prescribed by the Centre, School or Special Centre concerned without the prior permission of the Committee for Advanced Studies and Research or its equivalent body.

10.5 No Candidate shall be eligible to register for the Programme if he/she is already registered for any full time programme of study of this University or in any other University/Institution. The selected candidate is required to make a declaration to the effect that he/she is not pursuing any full time programme of this University or any other University at the time of admission.

## **11. Research Advisory Committee (RAC)**

11.1 There shall be a Research Advisory Committee constituted by the Committee for Advanced Studies and Research or its equivalent body, as the case may be, on the recommendation of Centre, School or Special Centre, for each Ph. D. Scholar. The composition of RAC shall be as under:-

- a) Research Supervisor as Convener of the Committee.
- b) One internal expert from the concerned Centre/Spl Centre/School (where no centres exist).
- c) One external expert from outside the concerned centre/Spl Centre/School (where no centres exist) but from within the university.

The power of the Committee for Advanced Studies and Research or its equivalent body to constitute Research Advisory Committee, on the recommendation of Centre, School or Special Centre, shall include the power to re-constitute it, if and whenever required.

11.2 The Research Advisory Committee, in addition to the responsibilities imposed under the other provisions of this Ordinance, shall also have the following responsibilities:

- (i) To review the research proposal and finalize the topic of research;
- (ii) To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
- (iii) To periodically review and assist in the progress of the research work of the research scholar.

11.3 A ResearchScholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the Committee for Advanced Studies and Research or its equivalent body with a copy to the research scholar.

11.4 In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures

within reasonable time, the Research Advisory Committee may recommend to the Committee for Advanced Studies and Research or its equivalent body, as the case may be, with specific reasons for cancellation of the registration of the research scholar.

## **12. Submission of Thesis**

- 12.1 Upon confirmation of the admission, the Research Scholar shall be required to undertake research work and produce a draft thesis within the duration of the Programme or within the extended period, where extension has been granted under clause 5.
- 12.2 Prior to the submission of the thesis, the Research Scholar shall make a presentation in the Centre or School/Special Centre before the Research Advisory Committee, which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft thesis in consultation with the Research Advisory Committee.
- 12.3 The Research Scholar, before the submission of the thesis for adjudication, shall submit a soft copy of the thesis to a designated functionary of the Dr. B.R. Ambedkar Central Library of the University for plagiarism verification.

The plagiarism verification shall be carried out in accordance with the existing guidelines formulated by the University. The software and gadgets to be used to detect plagiarism and other forms of academic dishonesty shall also be indicated in the said guidelines. The Academic Council may formulate, based on the recommendations of the Committee for Advanced Studies and Research or its equivalent body, as the case may be, of each School or Special Centre, separate guidelines to be adopted in each Centre or School/Special Centre.

The designated functionary of the University shall issue the certificate of Plagiarism Check called the Plagiarism Verification Certificate, certifying and authenticating the check performed. This certificate has to be submitted to the Evaluation Branch at the time of submission of the thesis for evaluation and adjudication.

- 12.4 While submitting for evaluation, the Research Scholar shall include in the thesis a Declaration attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the this University, or to any other Institution/University in India or abroad. The declaration of the Candidate shall be countersigned by the Research Supervisor/Co-supervisor.

## **13. Evaluation and Adjudication**

13.1 The Ph.D. thesis submitted by a Research Scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, of whom one examiner may be, but not necessarily, from outside the country.

13.2 The external examiners shall be appointed by the Vice- Chancellor from amongst the panel of external examiners approved and recommended by the Committee for Advanced Studies and Research or its equivalent body, as the case may be, and the Board of Studies of the School or Special Centre concerned. No person who is in employment of the University or its recognized institutions and/or on the Board of Studies or Special Committee of the School or Special Centre concerned shall be included in the panel of external examiners.

Provided that in case an external examiner to whom the thesis has been sent for evaluation fails to forward the report to the University within three months from the date of dispatch of the thesis, the Vice-chancellor may appoint another examiner out of the same panel or, if necessary, ask for another panel to be recommended.

13.3 The external examiner, after examining the thesis, shall prepare and forward a report to the University, which shall contain a clear recommendation as to whether, in his or her opinion:

- (i) Recommend the granting of the Degree of Doctor of Philosophy to the candidate subject to his/her passing the Viva-Voce Examination
- (ii) Recommend the granting of the Degree of Doctor of Philosophy to the candidate subject to the condition that the corrections/modifications suggested by the examiner are carried out in the thesis and duly certified by the Supervisor and the Dean at the time of commencement of the Viva-Voce Examination.
- (iii) Recommend that the Thesis be sent back to the candidate for carrying out revision in the light of the comments in the examiner's report. The revised thesis may be referred back to the examiner for re-adjudication.
- (iv) Recommend that the Thesis be rejected.

The examiner shall not recommend that the viva-voce examination be held unless he/she is of the opinion that the thesis contributes new/additional knowledge and that the methodology adopted by the candidate is sound and the format of presentation satisfactory.

13.4 The reports of the external examiners shall be forwarded to the Dean of the School or the Chairperson of the Special Centre concerned, who, if satisfied that the examiners have unanimously recommended that the viva-voce examination of the Research Scholar be held, shall accordingly arrange to hold the viva-voce to be conducted online.

13.5 In case the Dean of the School or the Chairperson of the Special Centre, as the case may be, notes that both the external examiners of the thesis have not recommended unanimously that the thesis be accepted and the viva-voce examination of the Research Scholar be held or if he/she is of the opinion that in either report, an adverse opinion of a substantive nature has been expressed, materially affecting the validity of the same examiner's otherwise positive recommendation, then the Dean or the Chairperson shall place the reports of the examiners before the Committee for Advanced Studies and Research or its equivalent body for further action.

13.6 The Committee for Advanced Studies and Research or its equivalent body, where the Dean or Chairperson has placed evaluation reports before it with a noting expressing opinion that in either report, an adverse opinion of a substantive nature has been expressed, materially affecting the validity of the same examiner's otherwise positive recommendation, may, after duly considering the reports, either recommend to the Dean or Chairperson to arrange to hold the viva-voce or recommend to the Vice-chancellor for appointing another examiner from the approved panel of external examiners to evaluate the thesis.

13.7 The Committee for Advanced Studies and Research or its equivalent body shall, where either or both the examiners have referred the thesis back for revision, direct the Research Scholar to carryout necessary revisions in consultation with the Research Advisory Committee and re-submit the thesis for evaluation.

a) The Research Scholar shall resubmit the thesis, after carrying out necessary revisions, within six months from the date of communication of the recommendation of the external examiner.

Provided that, in exceptional cases, the Academic Council may, on the recommendation of the Committee for Advanced Studies and Research or its equivalent body, as the case may be, extend the period by another six months.

b) The Research Scholar shall obtain a fresh Plagiarism Verification Certificate at the time of resubmission after carrying out revision.

c) A thesis which has been re-submitted shall normally be examined by the original examiner(s) unless any one of them is, or both of them, are unable or unwilling to act as such, in which case another examiner(s) may be appointed.

Provided that, if the thesis, after revision, is not accepted by the examiner, both the original and the revised theses shall be sent to the third examiner for evaluation.

- 13.8 The Committee for Advanced Studies and Research or its equivalent body, as the case may be, shall, if both the external examiners have clearly recommended in their respective evaluation reports that the thesis be rejected, recommend to the University that the thesis be rejected and the research scholar be declared ineligible for the award of the degree.
- 13.9 The Committee for Advanced Studies and Research or its equivalent body shall, where only one of the external examiners has recommended in his/her evaluation report that the thesis be rejected, recommend to the Vice Chancellor to send the thesis to another external examiner out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.
- 13.10 In no case, the viva-voce examination shall be held unless two external examiners have recommended that the viva-voce be held.
- 13.11 The viva-voce examination, based among other things, on the critique given in the evaluation reports, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Centre, School/Special Centre concerned, other research scholars and interested experts/researchers.

Provided, where neither of the external examiners, who evaluated the thesis, is in a position to conduct the viva-voce examination, another examiner may be appointed in his/her place.

Provided further that where the Research Supervisor is unable to be present within a reasonable time to conduct the viva-voce examination, the Committee for Advanced Studies and Research or its equivalent body, as the case may be, may recommend another member of the faculty in his/her place to conduct the viva – voce examination.

- 13.12 In the viva-voce examination, the examiners shall also satisfy themselves:
- (i) That the thesis submitted by the Research Scholar is his/her own work, and
  - (ii) That the grasp of the candidate of the broad field of his/her study is satisfactory.
- 13.13 The examiners, after conducting the viva–voce examination, shall recommend clearly that:
- (i) The candidate be awarded the Degree of Doctor of Philosophy (Ph. D.), or
  - (ii) The thesis be referred back to the candidate for revision; or

(iii) The thesis be rejected and the candidate be not awarded the Degree.

- 13.14 In case the Committee for Advanced Studies and Research or its equivalent body, as the case may, is satisfied that the examiners who conducted the viva – voce examination have recommended that the candidate be awarded the Ph.D degree, it shall recommend to the Academic Council through the Board of Studies of the School or Special Centre concerned that the Ph.D degree may be awarded to the candidate.
- 13.15 In case the examiners who conducted the viva –voce examination haveunanimouslyrecommended that the thesis of the candidate be rejected, the Committee for Advanced Studies and Research or its equivalent body, as the case may be, shall recommend to the Academic Council through the Board of Studies of the School or Special Centre concerned that the thesis be rejected and the research scholar be declared ineligible for the award of the degree.
- 13.16 In case the recommendation of one external member of the viva-voce Board is positive and of the other negative, the Board shall refer the case to the Committee for Advanced Studies and Research or its equivalent body, as the case may be, for its consideration and decision.

In case the examiners who conducted the viva –voce examination haverecommended that the thesis be referred back for revision, it shall be referred back for revision and resubmission

- 13.17 No candidate shall be permitted to re-submit his/her thesis for the award of the Ph.D degree more than once.

#### **14. Award of Degree and Issue of Compliance Certificate**

- 14.1 Following the recommendation of the Committee for Advanced Studies and Research or its equivalent body for the award of the Degree, the Academic Council shall award the Degree of the Doctor of Philosophy (Ph. D.) to the Research Scholar.
- 14.2 Prior to the actual award of the degree, the Dean of School or Chairperson of the Special Centreconcernedshall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of UGC (Minimum Standards and Procedure for Award of Ph.D degrees) Regulations, 2022.

#### **15. Ph.D through Part Time Mode**

- (1) Ph.D. programmes through part-time mode will be permitted up to 12.5% of the Ph.D intake of respective School/Centre/Spl. Centre at the time of admission to

Ph.D Programme provided all the conditions stipulated in these Regulations are fulfilled.

- (2) The candidates seeking admission to Part Time Ph.D programme should be employed in a Government/UGC recognized institutions/organizations/university/colleges in the Delhi/NCR region.
- (3) The University shall obtain a “No Objection Certificate” from the candidate for a Part-Time Ph.D. programme issued by the appropriate authority in the organization where the candidate is employed, clearly stating that:
  - i. The candidate is permitted to pursue studies on a part-time basis.
  - ii. His/her official duties permit him/her to devote sufficient time for research.
  - iii. If required, he/she will be relieved from the duty to complete the course work.

## **16. Miscellaneous Provisions**

16.1 The University shall maintain and publish the list of all the Ph.D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.

16.2 Following the resolution of the Academic Council to award the Degree and before the announcement of the award of the Ph.D. degree or Issuance of the Degree Certificate, the University shall submit an electronic copy of the Ph. D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Universities.

16.3 In a School or Special Centre, where the Committee for Advanced Studies and Research does not exist, all the powers and functions of the said Committee under this Ordinance shall be exercisable or carried out by such School or Special Centre concerned. They shall be considered equivalent to the Committee for Advanced Studies and Research under this Ordinance.

The report of every decision made or action taken by the School or Special Centre, while acting as equivalent to the Committee for Advanced Studies and Research, shall be placed before the Special Committee of such School or Special Centre for consideration and ratification.

16.4 In a School or Special Centre, where the Board of Studies does not exist, all the powers and functions of the said Board under this Ordinance shall be exercisable or carried out by the Special Committee of the School or Special Centre concerned. The Special Committee of such Schools or Special Centres shall be considered equivalent to Board of Studies under this Ordinance.



16.5 A School or Special Centre may also be authorized by the competent body of the University to offer domain specific Ph.D. Programme under this Ordinance.

16.6 In case of relocation of any Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in this Ordinance are followed in letter and spirit and the research work does not pertain to the project secured by the University/ supervisor from any funding agency. The Research Scholar will, however, give due credit to the original Research Supervisor and the University for the part of research already done.

The detailed procedure regarding relocation/transfer of Ph.D. Woman scholar may be laid down by the Academic Council of the University.

16.7 The Academic Council, either on the recommendation of any of the statutory bodies or otherwise, is competent to take decision on issues not covered under this Ordinance and to interpret and clear ambiguities, if any, in the Ordinance.

The decision of the Academic Council shall be consistent with and not in derogation of any of the provisions of this Ordinance.

The power of the Academic Council under this clause shall be without prejudice to the power of the competent statutory bodies of the University to amend this Ordinance.

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